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Substitute for form 1449

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Attorney Docket Number 71608-02

First Named Inventor Strand et al

### COMPLETE IF KNOWN

Application Number 10/706,669

Filing Date 11/12/2003

Group Art Unit 1714

Sheet

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of

1

Examiner Name

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
P.S.		2,012,267		Carothers	08-27-1935
↕		4,873,270		Aime et al	10-10-1989
↓		6,326,435		Takayama et al	12-04-2001
P.S.		6,482,872		Downie	11-19-2002

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			

## OTHER - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author(in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
		Office Action dated 2/03/2005 for Serial No. 10/086,905 filed on 2/28/2002	
		Office Action dated 10/01/2004 for Serial No. 10/722,870 filed on 11/26/2003	

Examiner Signature	Peter Sabely	Date Considered	6/1/05
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DEC 17, 2004

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Attorney Docket Number 71608

First Named Inventor Strand et al.

COMPLETE IF KNOWN

Application Number 10/706,669

Filing Date November 12, 2003

Group Art Unit 1714

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of

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		Number	Kind Code <sup>2</sup> (if known)		
P.S.		36,548		Noda (Re-exam)	02-01-2000
✓		2001/0027225	A1	Downie	10-04-2001
		2002/0061944	A1	Asrar et al	05-23-2002
		2003/0145518	A1	Noda et al	08-07-2003
		2003/0212244	A1	Hayes et al	11-13-2003
		2004/0024101	A1	Hayes	02-05-2004
		2004/0024102	A1	Hayes et al	02-05-2004
		2004/0039092	A1	Asrar et al	02-26-2004
		2004/0068059	A1	Katayama et al	04-08-2004
		2004/0152810	A1	Takenaka et al	08-05-2004
		5,489,470		Noda	02-06-1996
		5,498,692		Noda	03-12-1996
		5,502,116		Noda	03-26-1996
		5,536,564		Noda	07-16-1996
		5,602,227		Noda	02-11-1997
		5,618,855		Noda	04-08-1997
		5,703,160		Dehennau et al	12-30-1997
		5,958,581		Khanarian et al	09-28-1999
		6,077,931		Noda	06-20-2000
		6,127,512		Asrar et al	10-03-2000
		6,160,199		Noda	12-12-2000
		6,174,990	B1	Noda	01-16-2001
		6,231,970	B1	Anderson et al	05-15-2001
P.S.		6,350,530	B1	Morikawa et al	02-26-2002

Examiner Signature	<i>Peter Sahel</i>	Date Considered	6/1/05
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				First Named Inventor	Strand et al.	
		<b>COMPLETE IF KNOWN</b>				
				Application Number	10/706,669	
				Filing Date	November 12, 2003	
				Group Art Unit	1714	
Sheet	2	of	2	Examiner Name		

P.S.		6,569,990	B1	Noda	05-27-2003
P.S.		6,620,869	B2	Asrar et al	09-16-2003
P.S.		6,787,245	B1	Hayes	09-07-2004

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
P.S.		EP	1 375 556	A2	The Proctor & Gamble Company	02-01-2004	
		JP	1-138260	A	Mitsui Petrochemical Industries, Ltd.	05-31-1989	✓
		JP	9-217014		Tsutsunaka Plastic Industry Co. Ltd.	08-19-1997	✓
		JP	2002-275217	A	Sumitomo Chemical Co., Ltd.	09-25-2002	✓
		JP	2003-171537	A	Toyobo Co. Ltd.	06-20-2003	✓
		JP	2003-277592	A	Lonseal Corp.	10-02-2003	✓
		WO	95/14734		Eastman Chemical Company	06-01-1995	
		WO	95/20614	A1	The Proctor & Gamble Company	08-03-1995	
		WO	97/10302		Eastman Chemical Company	03-20-1997	
		WO	97/49757	A	Eastman Chemical Company	12-31-1997	
		WO	99/23146	A1	Monsanto Company	05-14-1999	
		WO	99/47605	A	Eastman Chemical Company	09-23-1999	
		WO	00/37544	A1	The Proctor & Gamble Company	06-29-2000	
P.S.		WO	2004/029147	A1	Nederlandse Organisatie Voor Toegepast Natuurwetenschappelijk Onderzoek Tno	04-08-2004	

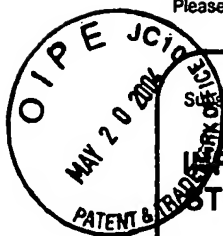
OTHER - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author(in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>

Examiner Signature	Peter Sotag	Date Considered	6/1/05
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## U.S. PATENT DOCUMENTS

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		Number	Kind Code <sup>2</sup> (if known)		
P.S.		10/722,870		Strand et al	
		5,290,631		Fleury et al	03-01-1994
		5,534,570		Shih et al	07-09-1996
		5,589,126		Shih et al	12-31-1996
P.S.		6,632,390		Shelby et al	10-14-2003

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
P.S.		GB	815 991		Union Carbide Corporation	07-08-1959	
P.S.		GB	1 323 478		Stamcarbon N.V.	09-18-1973	
P.S.		JP	2000-327891		Tsutsunaka Plastic Industry Co. Ltd.	11-28-2000	✓

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
P.S.		Elias, H., <u>Macromolecules</u> , Plenum Press: NY, 1977, p. 391	

Examiner Signature	Peter Sekeš	Date Considered	6/1/01
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		Number	Kind Code <sup>2</sup> (if known)		
P.S.		10/086,905		Moskala et al	03-27-2003
		2,044,612		Jaeger	06-16-1936
		3,186,961		Sears	06-01-1965
		3,658,978		Ancker	04-25-1972
		3,873,496		Hills	03-25-1975
		3,883,478		Gresham	05-13-1975
		4,045,431		Fagerburg	08-30-1977
		4,258,153		Yomamoto et al.	03-24-1981
		4,340,526		Petke et al	07-20-1982
		4,391,938		Memon et al	07-05-1983
		4,450,250		McConnell et al	05-22-1984
		4,506,043		Ogawa et al	03-19-1985
		4,814,426		Utsumi et al	03-21-1989
		4,837,254		Branscome	06-06-1989
		4,963,418		Isaka et al	10-16-1990
		4,996,291		Yoshinaka et al	02-26-1991
		5,017,680		Sublett	05-21-1991
		5,256,714		Liu et al.	10-26-1993
P.S.		5,399,595		Sublett et al	03-21-1995

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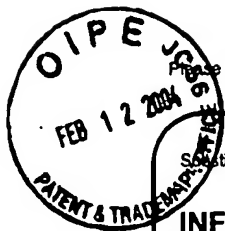
Peter Fuchs

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First Named Inventor Strand

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6

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P.S.	5,484,632	Mercer, Jr., et al	01-16-1996
↑	5,532,049	Masuda et al.	07-02-1996
	5,624,987	Brink et al	04-29-1997
	5,654,347	Khemani et al	08-05-1997
	5,696,176	Khemani et al	12-09-1997
	5,824,398	Shih	10-20-1998
	5,859,116	Shih	01-12-1999
	5,900,322	Buchanan et al	05-04-1999
	5,928,788	Riedl	07-27-1999
	5,965,648	Brink et al.	10-12-1999
	5,998,005	Kanno	12-07-1999
	6,025,061	Khanarian et al	02-15-2000
	6,054,551	Cornell et al	04-25-2000
	6,068,910	Flynn et al	05-30-2000
	6,103,857	Jones et al	08-15-2000
↓	6,551,688	Moskala et al.	04-22-2003
P.S.	6,551,699	Flynn	04-22-2003

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT  Sheet 3 of 6		Attorney Docket Number	71608-02
		First Named Inventor	Strand
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		Application Number	10/706,669
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		Office <sup>2</sup>	Number <sup>4</sup>	Kind Code <sup>3</sup> (if known)		
P.S.		GB	805,588		Goodyear Tire & Rubber	12-10-1958
		GB	805 586	A	Goodyear Tire & Rubber	12-10-1958
		GB	805 587		Goodyear Tire & Rubber	12-10-1958
		WO	87/03291	A	Eastman Kodak Company	06-04-1987
		JP	4-117432		Uenomachi et al	04-17-1992
		WO	94/28061	A	Zeneca Ltd.	12-08-1994
		JP	7-278418	A2	Kitamura et al	10-24-1995
		EP	0 724 947	A1	Toray Industries, Inc.	08-07-1996
		JP	8-283547	A	Ozawa	10-29-1996
		EP	0 744 439	A1	Idemitsu Petrochemicals Co.	11-27-1996
		JP	9-40823	A	Nishimura et al	02-10-1997
		JP	9-66590	A2	Kimata	03-11-1997
		JP	9-272191	A	Kunimaru et al.	10-21-1997
		WO	97/42260		Pagani	11-13-1997
		JP	00186191	A	Suda	07-04-2002
		EP	1 054 038	A1	Tokunaga et al.	11-22-2000
P.S.		JP	2000-336256	A	Ishimaru	12-05-2000

Examiner Signature	<i>Peter Szelley</i>	Date Considered	6/1/05
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COMPLETE IF KNOWN

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		JP	2001-18344	A	Sasaki et al	01-23-2001	✓
		JP	2002-53740	A	Murata	02-19-2002	✓
		WO	02/28967	A1	Kurauchi	04-11-2002	✓
		JP	2002-121362	A	Murata	04-23-2002	✓
		JP	2002-129483	A	Tunakia et al.	05-09-2002	✓
		JP	2003-128890	A	Nishida et al.	05-08-2003	✓
		JP	2003-128894	A	Komatsu et al.	05-08-2003	✓
		JP	2003-128773	A	Nishida et al	05-08-2003	✓
		JP	2003-128889	A	Nishida et al	05-08-2003	✓
		JP	2003-154539	A	Komatsu et al.	05-27-2003	✓
		JP	2003-155402	A	Kurauchi	05-30-2003	✓
		JP	2003-155401	A	Komatsu et al.	05-30-2003	✓
		DE	100 40 691	A1	Arnold	02-28-2002	✓
		JP	2000-136294	A	Suda et al	05-16-2000	✓
		JP	7-60924	A	Mimasu et al.	03-07-1995	✓
		JP	2001-279068	A	Fujino et al.	10-10-2001	✓
		JP	2003-191266	A	Ohama et al.	07-08-2003	✓
		JP	2002-129002	A	Fujino et al.	05-09-2002	✓
		JP	2001-354842	A	Ueda et al.	12-25-2001	✓
		JP	10-291225	A	Yokomizu et al.	11-14-1990	✓

Examiner Signature	Peter Szekely	Date Considered	6/1/03
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Group Art Unit

Sheet

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**Examiner Name**

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Signature**

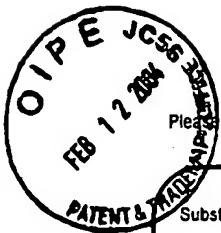
Seben Srethely

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## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author(in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
P.S.		Database WPI, Section CH, Week 9702, XP-002106151, Derwent Publications, Ltd., Oct. 29, 1996, London, GB	
↑		Jim Butschli, Packaging World, pp. 26-28, June 1997	
		W.V. Titow, PVC Technology, 4 <sup>th</sup> Edition, pp. 803-848 (1984), Elsevier Publishing Co.	
		Material Safety Data Sheet, "EASTAR" PETG COPOLYESTER 6763, October 23, 1997	
		Anonymous Research Disclosure 23314, September 1983	
		Fox equation, T.G. Fox, Bull. Am. Phys. Soc., 1, 123 (1956)	
↓		"The Technology of Plasticizers", by J. Kern Sears and Joseph R. Darby, published by Society of Plastic Engineers/Wiley and sons, New York, 1982, pp. 134-137	
P.S.		Coleman et al., Polymer 31, (1990), 1187-1203	

Examiner Signature	<i>Peter Sukhly</i>	Date Considered	6/1/05
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.